

Northeast Securities complies with the USA PATRIOT Act.

Effective October 25, 2002, we will verify the identity of all parties applying for new accounts and services offered by the firm. The information, which we are required to obtain, includes name, residential and mailing addresses, tax identification number, date of birth and a copy of government issued photo identification. Additional information may be required depending upon the type of account or service being requested. Information on existing customers will be required when new accounts or additional services are requested.

The USA PATRIOT Act requires us to maintain records of the identity verification and any updates when necessary. Northeast Securities recognizes the importance of safeguarding your nonpublic personal information. We are committed to maintaining the confidentiality of the information you provide in accordance with our Privacy Policy.

If you have questions regarding this posting please contact:
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